# Spectral non-uniform temperature, non-local heat transfer, and the spin Seebeck effect

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We present a theory of the spin Seebeck effect driven by subthermal non-local phonon heat transfer and spectral non-uniform temperature distribution. The theory explains the non-local behavior of the effect arising from the fact that phonons that store the energy (thermal) and the phonons that transfer it (subthermal) are located in different parts of the spectrum and have very different kinetics. This gives rise to a spectral phonon distribution function that deviates from local equilibrium along the substrate and is sensitive to boundary conditions. The theory also predicts a non-magnon origin of the effect in ferromagnetic metals in agreement with observations in recent experiments. Equilibration of the heat flow out of the substrate to the Pt probe and backwards leads to a measurable vertical spin-current produced by the spin polarized electrons dragged by the local thermal phonons. We predict specific sample length limits and other dependencies that can be probed experimentally, and obtain the correct magnitude of the effect.

Recently discovered spin-dependent thermoelectric effects have opened the doors to a new frontier of spintronics that merge spin, charge, and thermal physics, known as spin caloritronics. 1-9 The key and most puzzling effect among them is the transverse spin Seebeck effect (SSE) in which a thermal gradient in a ferromagnet/substrate structure gives rise to spin-currents which vary along the length of the sample and are detected via the inverse spin-Hall voltage. 10 This effect has been experimentally observed using different ferromagnetic materials: metals, 1 semiconductors, 2,3 and insulators. 4 The magnitude of the SSE is quantified by the transport coefficient  $S_{xy} = \frac{V_y}{w\nabla_x T}$ , where  $V_y$  is the measured ISHE voltage, w is the width of Pt probe, and  $\nabla_x T = (T_2 - T_1)/L$ , where L is the length of the sample, see Fig. 1(a). The ISHE voltage is given by  $V_y = \frac{2|e|\rho\theta_H}{\hbar} (\mathbf{j}_s \times \mathbf{s})_y$ , where  $\mathbf{j}_s$  is the spin current,  $\mathbf{s}$  is its polarization,  $\theta_H$  is the spin-Hall angle of the probe (in Pt,  $\theta_H$  is of the order of one per cent) and  $\rho$  is its electric resistivity. The effect is nonlocal, i.e. it depends on the position along the sample rather than the local temperature gradient. In addition, the size of the sample is usually about 1 cm and such a long-ranged information about position can be transferred only by phonons, propagating along the insulating substrate.<sup>2</sup>

Here we show that the non-local SSE is a consequence of the non-local energy transfer due to sub-thermal diffusive phonons that are sensitive to the boundary conditions and give rise to a spectral non-uniform temperature along the sample.  $^{11,12}$ . In addition, we demonstrate that while in the insulator the SSE is likely determined by the phonon-magnon mechanism, in the conducting ferromagnet (e.g.,  $\rm Ni_{81}Fe_{19}{}^1$  and  $\rm GaMnAs^3$ ), the magnon mechanism is not the only one available. In fact, in recent measurements in bilayer F-Pt wire devices, the specific geometry excludes long-ranged propagation of magnons and leaves only phonons as a source of non-locality.  $^6$  In

addition, the experiments by Jaworski et al. in Ref. 3 were performed on a material with Curie temperature  $T_C=130$  K, considerably lower than the Debye temperature  $\theta_D=350$  K, and showed that  $V_{ISHE}\propto M$  at the vicinity of the Curie point; i.e. the SSE signal vanishes with the magnetization M with the same critical behavior. This latter fact excludes the magnon mechanism for this case.

The theory of this phonon-electron SSE, which does not involve magnons, has three key physical mechanisms. The first (i) involves the non-local nature of the signal driven by subthermal phonons. In recent measurements of the SSE in insulators<sup>13</sup> the temperature difference between thermal magnons and phonons assumed in the current theory<sup>14</sup> has not been observed, suggesting the necessity of the concept of spectrally nonuniform temperature. This concept originates from the fact that in most dielectrics, and also some semiconductors, the energy transfer is highly non-local 11,12 because of the strong dependence of the diffusion coefficient of phonons on frequency:  $D(\omega) \propto \omega^{-4}$ , if the dominant scatterers are point-like<sup>15</sup>. In the diffusive regime of the experiments the energy relaxation length is given by  $l_{in}(\omega) = \sqrt{D(\omega) \tau_{in}(\omega)}$ , where the energy relaxation rate is  $\tau_{in}^{-1}(T,\omega) \propto T^4\omega$ . While the thermal phonons,  $\hbar\omega \sim k_B T$ , are equilibrated, the subthermal low-frequency phonons can deviate from the local equilibrium due to the rapid low-frequency growth of inelastic length  $l_{in}(\omega) = l_{in}(T)(T/\omega)^{5/2}$ , which leads to non-local kinetics. Even the concept of the temperature itself is well-defined only for phonons of high enough frequency. For the 'thermal' part of the spectrum  $\hbar\omega \gtrsim k_B T$ , the distribution function has a Planckian form  $n_T(\omega) = \left(e^{\hbar\omega/k_B T} - 1\right)^{-1}$  with a local temperature T = T(x). As a result, the phonons which store the energy and phonons which transfer it are located in different parts of the spectrum. As illustrated in Fig. 1(b), this

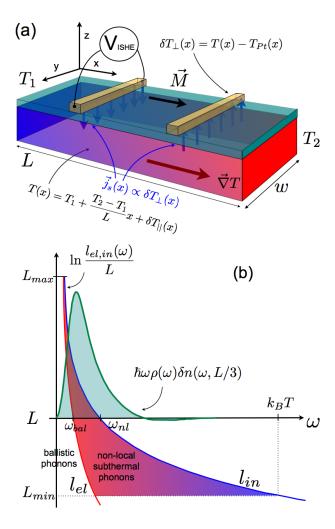


FIG. 1: (a) Scheme of the SSE experiment. The effect incorporates three key physical mechanisms: (i) subthermal phonons whose inelastic length,  $l_{in}$ , is of the order of the sample size, L, and whose elastic length,  $l_{el}$ , is smaller than L, drive the non-local heat propagation along the substrate which gives rise to a steady state distribution function that deviates from local equilibrium; (ii) equilibration of heat flows out of the substrate into the Pt probe and backwards establishes the temperature in the probe  $T_{Pt} \neq T(x)$ ; (iii) the different phonon distribution functions in the probe and the substrate yield a spin-phonon-drag current,  $\vec{j}_s(x) \propto \delta T_{\perp}$ . (b) Spectral phase diagram of phonons as a function of sample length. The deviation from local thermal equilibrium,  $\delta n(\omega, x) = n(\omega, x) - n_{T(x)}(\omega)$ , is illustrated (green curve) for x = L/3; here  $\rho_{ph}(\omega) \propto \omega^2$  is the phonon density of states.

spectral separation occurs when  $l_{el}\left(T\right) \ll l_{in}\left(T\right) \ll L$ , where  $l_{el}\left(T\right) \equiv l_{el}\left(\hbar\omega = k_BT\right) \propto D(\hbar\omega = k_BT) \propto T^{-4}$ , and  $l_{in}\left(T\right) \equiv l_{in}\left(\hbar\omega = k_BT\right) \propto T^{-4.5}$ . Then the subthermal phonons whose inelastic length is of the order of (but elastic length is much shorter) than the sample size L drive the non-local heat propagation along the substrate, giving rise to a steady state phonon distribution function that deviates from local equilibrium for  $\hbar\omega \ll k_BT$  and depends on the position along the sub-

strate. To describe this non-local effect, it is essential to formulate the boundary conditions for the equations describing the propagation of the diffusive phonons, see below.

The second (ii) mechanism involves the electronphonon drag. Since the probe is a 'dead end', there is a full balance between incoming and outgoing heat fluxes such that net heat flux is zero. However, the incoming and outgoing fluxes have different spectral distributions, because of the inelastic processes in Pt which average out the spectrum of the incoming flux, and establish a local temperature  $T_{Pt}(x)$  different from T(x). The spin drag, induced by the phonon flux, is sensitive to the spectral content of the phonon distribution function. Hence, despite zero net heat flux, the spin injection is not zero. In the stationary situation, the drag voltage induced by the phonons is compensated by redistribution of the electron density, so that the total electric current is zero (as well as electrochemical potential gradient). However, in the presence of a spin polarization, there will be a net spin current  $j_s = j_{\uparrow} - j_{\downarrow}$  polarized along magnetization M: unlike its charge counterpart, spin drag is not blocked by accumulation of the spin density, which is eliminated by SO interaction in Pt. The magnitude of  $j_s$  depends on the ratio of the thickness of the ferromagnet,  $d_F$ , and the phonon inelastic scattering length there,  $l_{in}^F$ . The optimal value of  $d_F$  for observing the phonon drag SSE is of the order of  $l_{in}^F(T)$ . For too thin ferromagnet,  $d_F \ll l_{in}^F$ , the phonons cannot effectively transfer their momentum to electrons to drag them toward the probe. In the opposite limit,  $d_F \gg l_{in}^F$ , the phonons equilibrate before they reach the region near the probe. (An alternative mechanism not considered here is the quantum acoustoelectric pumping<sup>16</sup> due to the spectrally non-uniform flux of phonons.<sup>17</sup>)

The final (iii) mechanism involves the conversion of the spin-current to an electric signal via the ISHE. This conversion is most optimal if the thickness of the Pt layer is of the same order of magnitude as the spin relaxation length in Pt, which is the case in the discussed experiments. As shown in detail in the following sections, the resulting theory gives the correct magnitude of the signal, predicts a dependence on magnetization  $S_{SSE} \propto M$ , and gives specific temperature and size dependencies that can be tested experimentally.

## I. RESULTS

### A. Subthermal phonon kinetics

On Fig. 1(b) we show the spectral phase diagram of frequency regions contributing differently to the kinetics of phonons. There are two characteristic frequencies,  $\omega_{nl}$  and  $\omega_{bal}$ , determining the propagation of phonons:

$$l_{in}(\omega_{nl}) = L, \quad l_{el}(\omega_{bal}) = L.$$
 (1)

non-local transport we require  $\hbar\omega_{nl}$  $k_B T \left( L/l_{in} \left( T \right) \right)^{-2/5} \ll k_B T$ . In addition, we will not be interested in phonons in the ballistic part of the spectrum,  $\omega < \omega_{bal}$ . This is legitimate as long as  $\omega_{bal} \ll \omega_{nl}$ , and determines a maximum length of the sample,  $L_{\text{max}}$ , given by the point of intersection of the curves  $l_{in}(\omega)$  and  $l_{el}(\omega)$ , as shown Fig. 1(b). This gives a temperature dependence  $L_{\rm max} \propto T^{-1\hat{6}/\hat{3}}$ . For lengths larger than  $L_{\text{max}}$ , the non-local effect is due to the fraction of phonons propagating ballistically and requires a different formalism, which we will not discuss here. The other condition that allows to separate thermal phonons from those which produce non-local effects is  $l_{in}(T) \ll L$ . This gives a minimum length of the sample  $L_{\min} \propto T^{-9/2}$ . For length smaller than  $L_{min}$  even thermal phonons are out of equilibrium and spectral separation does not hold. The large ratio of  $l_{in}(T)/l_{el}(T)$  opens the window  $L_{\min} \ll L \ll L_{\max}$ which we are interested in. Hence the sample size should be in the range indicated on Fig. 1(b). Estimation at T=10 K (when typical phonon energy is 28 K), gives  $L_{\text{max}}$  about few cm and  $L_{\text{min}}$  on the scale of mm. Recall that the typical size of the sample used for the SSE experiments is 1cm. With temperature the width of the region of applicability of the theory behaves as  $L_{max}/L_{min} \propto T^{-5/6}$  and we expect it to be relevant up to 50K. In addition, the temperature is assumed to be much smaller than the Debve temperature,  $T \ll \theta_D$ , which allows us to ignore Umklapp processes.

With these specific length restrictions we consider next the theory of propagation of diffusive phonons along the substrate. Owing to the fact that the low-frequency phonons do not primarily interact with themselves but with equilibrated high-frequency phonons, one may use the following kinetic equation that describes propagation of phonons in the insulating substrate, valid for  $\hbar\omega \lesssim k_BT$ :

$$D(\omega) \partial_x^2 n(\omega, x) = \frac{\delta n(\omega, x)}{\tau_{in}(\omega)}, \qquad (2)$$

where  $\delta n$  is the deviation from the local equilibrium

$$\delta n(\omega, x) = n(\omega, x) - n_{T(x)}(\omega). \tag{3}$$

To get a closed set of equations, one needs an equation for T(x), which deviates from the linear behavior due to the non-locality of the heat transport. This equation is obtained from the continuity of the energy density in the system, which in stationary situations reads as  $\nabla \cdot \vec{j}_Q = 0$ .

Because of the divergence of  $D\left(\omega\right)$  at small  $\dot{\omega}$ , the heat flux  $\vec{j}_{Q}$  is transported by the low-energy part of the spectrum.<sup>19–21</sup> The heat current density is given by:

$$\vec{j}_{Q}(x) = -\int_{0}^{\infty} \hbar\omega \rho_{ph}(\omega) D(\omega) \partial_{x} n(\omega, x) d\omega, \quad (4)$$

where  $\rho_{ph}(\omega) \propto \omega^2$  is the phonon density of states (summed over all branches). The integral for  $\vec{j}_Q(x)$  diverges and has to be cut off at small frequency (the exact

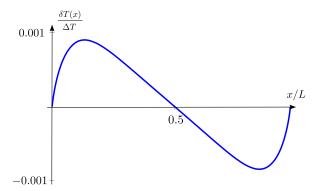


FIG. 2: Correction to the linear temperature dependence as a function of position, Eq. (12).

value of the cut off does not enter our results since the integral for  $\nabla \cdot \vec{j}_Q$  converges). Using Eq. (2), the energy density continuity equation takes the following form:

$$\int_{0}^{\infty} \omega^{2} \delta n(\omega, x) \rho_{ph}(\omega) d\omega = 0.$$
 (5)

This equation should hold for all x. Thus, one has to solve a system of integro-differential equations. For the case of a pulse propagation in an infinite media the non-local phonon transport has been studied in Ref. 11,12,22. However, we are interested in a stationary solution in the presence of the boundaries.

On the boundary between the substrate and the heater there is a jump in the phonon distribution function, because of the abrupt change in the properties of materials. This leads to a finite thermal boundary resistance (Kapitza resistance), which manifests itself through the jump  $\Delta T_K$  at the contact.<sup>23</sup> If the scattering in the vicinity of the boundary is mostly elastic, the boundary condition consists of conservation of spectral heat current density across the boundary. It relates the heat flux through the boundary to the jump of the phonon distribution function across it. At the left end of the sample (which is at heat contact with a reservoir at temperature  $T_1$ ) it takes the following form:

$$l_{el}(\omega) \left. \partial_x n(\omega, x) \right|_{x=0} = \frac{1}{R_{Bd}} \left[ n(\omega, 0) - n_{T_1}(\omega) \right].$$
 (6)

The boundary resistance  $R_{Bd}$  is assumed to be frequency independent. If the heat contacts are in thermal equilibrium,  $R_{Bd}$  can be related to the thermal boundary conductivity  $h_{Bd} = \frac{\dot{Q}}{A\Delta T_K} \propto \frac{T^3}{v_s^2} R_{Bd}^{-1}$ , where  $v_s$  is the averaged sound velocity. Note, that in the absence of the boundary resistance  $(R_{Bd} = 0)$ , the locally equilibrium distribution function  $n(\omega, x) = n_{T_0(x)}(\omega)$  with  $T_0(x) = T_1 + (T_2 - T_1)x/L$  satisfies both the kinetic equation and the boundary conditions, so that  $\delta n = 0$  and the non-local effect vanishes. One may easily see that  $\delta n \propto R_{Bd}$  at not too large values of  $R_{Bd}$ .

With this it is then possible to write down a closed equation for T(x). If the phonon temperature as a function of position x is known, the distribution function can be obtained from Eq. (2) and reads:

$$n(\omega, x) = n^{(S)}(\omega, x) +$$

$$+Z_{\omega} \int \Pi_{\omega} (L - x_{<}) \Pi_{\omega} (x_{>}) n_{T(x')}(\omega) dx' / l_{in}(\omega),$$
(7)

where  $x \le \min(x, x')$ ,  $x > \max(x, x')$ . The 'source' term  $n^{(S)}(\omega, x)$  comes from the boundary condition (6) and is equal to

$$n^{(S)}(\omega, x) = g_{\omega} Z_{\omega} \left[ n_{T_1}(\omega) \Pi_{\omega}(x) + n_{T_2}(\omega) \Pi_{\omega}(L - x) \right],$$
(8)

where

$$\Pi_{\omega}(x) = \cosh\left(\frac{L-x}{l_{in}(\omega)}\right) + g_{\omega} \sinh\left(\frac{L-x}{l_{in}(\omega)}\right), \quad (9)$$

and

$$Z_{\omega} = \left[2g_{\omega}\cosh\left(L/l_{in}\left(\omega\right)\right) + \left(1 + g_{\omega}^{2}\right)\sinh\left(L/l_{in}\left(\omega\right)\right)\right]^{-1}.$$
(10)

Above we have introduced the effective boundary thermal conductance  $g_{\omega} = (l_{in}(\omega)/l_{el}(\omega)) R_{Bd}^{-1}$ . The second term in Eq. (7) describes the process of redistribution of phonons along the sample due to diffusion and inelastic scattering. Substituting Eq. (7) into Eq. (5), one gets an integral equation for T(x), which can be solved numerically. This procedure is self-consistent: after finding T(x), the distribution function is easily calculated from Eq. (7). To illustrate the result, we assume the following ratios of characteristic lengths of a thermal phonon

$$L: l_{in}(T): l_{el}(T) = 1:0.1:0.005,$$
 (11)

and calculate the correction  $\delta T_{\parallel}\left(x\right)$  to the linear temperature behavior

$$T(x) = T_1 + \frac{T_2 - T_1}{L}x + \delta T_{\parallel}(x),$$
 (12)

which is shown on Fig. 2, where we have assumed that  $R_{Bd}=0.1$  and  $T_1 < T_2$ . Although the deviation from the linear behaviour is small, it ensures the conservation of the energy density of the phonons propagating along the substrate. Ultimately, the non-equilibrium correction  $\delta n(\omega,x)$  is responsible for the SSE effect. On Fig. 1(b), the frequency dependence of  $\hbar\omega\rho_{ph}(\omega)\,\delta n(\omega,x)$  is plotted close to the colder end (for x=0.3L). On the hotter end,  $\delta n$  has the opposite sign.

#### B. Out of plane spin transport

After finding the non-equilibrium distribution function of phonons  $\delta n(\omega, x)$ , we next concentrate on the heat and spin transport in the vertical direction from the substrate to the probe across the magnet. The temperature of

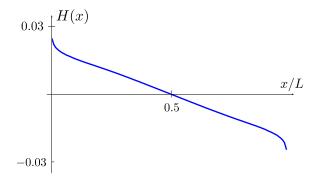


FIG. 3: The function  $h(x) \propto \delta T_{\perp}(x)$  determining the magnitude and spatial profile of the SSE signal  $S_{xy}$  given in Eq. (20).

phonons in the Pt probe,  $T_{Pt}(x)$ , is different from the T(x). It is determined by the requirement that the heat flux created by non-equilibrium non-local phonons from the substrate to Pt is compensated by back-flow flux of thermal phonons from Pt to the substrate. The resulting temperature difference,  $\delta T_{\perp}(x) = T(x) - T_{Pt}(x)$ , can be found from the heat balance equation:

$$\int_{0}^{\infty} \omega \rho_{ph}(\omega) \, \delta N(\omega, x) \, d\omega = 0, \tag{13}$$

where  $\delta N\left(\omega,x\right)=n_{sub}-n_{Pt}$  is the difference of the distribution function of phonons entering and leaving the Pt probe, located at x. Here we neglect inelastic scattering of phonons while they pass through the ferromagnetic layer  $(d_F \lesssim l_{in}^F)$ , and have assumed the sound velocities to be of similar order in the Pt and the substrate. We also assume, that the probe is small enough  $a \ll l_{el}(T)$ , so that the influence of the counterflow on the phonon distribution function in the substrate can be ignored.

It is useful to present  $\delta N(\omega, x)$  in the following form:

$$\delta N\left(\omega,x\right) = \left[n_{T(x)}\left(\omega\right) - n_{T_{Pt}(x)}\left(\omega\right)\right] + \delta n\left(\omega,x\right). \tag{14}$$

Then, the the temperature difference  $\delta T_{\perp}(x)$  can be calculated from the equation:

$$\delta T_{\perp}(x)/T(x) \propto -\int_{0}^{\infty} z^{3} \delta n(zT, x) dz \equiv -h(x), \quad (15)$$

where  $h\left(x\right)$  is the dimensionless heat flux supplied to the probe by the nonequilibrium phonons. The function  $h\left(x\right)$  can be written in a form of  $h\left(x\right) = \frac{\Delta T}{T}\left(\omega_{bal}/T\right)^4H\left(x\right)$ , where  $H\left(x\right)$  is a slow function of temperature and boundary resistance  $R_{Bd}$ . Here  $\omega_{bal}$  encodes information about scattering of phonons on the disorder and the length of the sample. Function H(x) is plotted on Fig. 3 for the same sample parameters as before.

With this we can finally estimate the scale of the SSE due to conducting electrons, dragged by out-of-equilibrium phonons, in more detail. The guiding idea about the scale of the effect follows from the derivation of the well known Gurevich formula $^{24-26}$  for the phonon drag. This formula gives for thermoelectric coefficient

 $\eta = -j/\nabla T$  the following expression:  $\eta \propto -\frac{\sigma T^3}{e(p_F v_s)^3}$ , which is valid when  $q_T l \gg 1$  (here  $q_T = k_b T/\hbar v_s$  is the wavelength of a thermal phonon). For the dirty case  $q_T l \ll 1$ , the particle current density dragged to the probe is given by<sup>27</sup>:

$$j_e^z(x) \propto \frac{\tau_{ei}}{p_F} \int_0^\infty \omega^2 \delta N(\omega, x) W(\omega l_{ei}/u) \rho_{ph}(\omega) d\omega.$$
 (16)

We write  $\tau_{ei}$ ,  $l_{ei}$  for electron-impurity scattering time and length in the ferromagnet. The role of electron-impurity scattering in Eq. (16) is twofold. It enhances electronphonon interaction by slowing the motion of electrons (making it diffusive). This is taken into account by the form of W(x). On the other hand it diminishes the drag effect due to the loss of electron momentum by impurity scattering. The details of the function W(x) depend on the character of the scattering of phonons on defects. We assume that phonons scatter on impurities vibrating with the lattice  $^{28}$  and  $W(x) = W_{vb}(x)$ . For temperatures  $T \ll u/l_{ei}$ , we may use the asymptotic behavior  $W_{vb}(x \ll 1) \approx x$ . Recalling that the charge current will be compensated by an unpolarized backflow charge current from the Pt probe, the total spin current is given by the polarized current dragged by the phonons into the Pt probe. Finally, we rewrite the expression for the spin-phonon-drag current injected into the Pt probe as

$$j_s^z(x) \propto X_M T \left(T/v_s\right)^2 \left(T/\theta_D\right)^2 A_{el}\left(T\right) J\left(x,T\right), \quad (17)$$

where  $A_{el}\left(T\right)=\left(k_{B}T/\epsilon_{F}\right)\left(k_{F}l_{ei}\right)^{2}$  is a dimensionless constant, determined by electrons,  $X_{M}=\frac{n_{\uparrow}-n_{\downarrow}}{n_{\uparrow}+n_{\downarrow}}$  is the level of spin polarization and the dragging factor is

$$J(x,T) = \int_0^\infty z^5 \delta N(zT,x) dz.$$
 (18)

Since the spectral densities of the energy and the charge currents are proportional to different powers of the phonon frequency, the electronic drag due to phonons is possible even when the net energy flow is zero. The contribution to J in Eq. (18) arising due to the temperature difference  $\delta T_{\perp}(x)$  between the substrate and the Pt probe (first term in Eq. (14)) is dominant. In other words, while the non-locality of the effect along the sample is carried by the low-frequency phonons, the dragging force generating the spin-current is produced by the thermal phonons. As a result of this intricate joint effort by the phonons in different parts of the spectrum, one gets (restoring units):

$$j_s^z(x) = X_M \left(k_B/\hbar\right)^3 A_{el} \left(T\right) \left(T/\theta_D\right)^2 \left(T/v_s\right)^2 \delta T_\perp \left(x\right). \tag{10}$$

Finally, for the magnitude of the SEE,  $S_{xy} = -2 (|e| \rho/\hbar) \theta_H j_s^z/\nabla_x T$ , and recalling that  $\delta T_{\perp}(x) \propto -Th(x)$ , we obtain:

$$S_{xy} = \theta_H S_{xy}^{(0)} A_{el}(T) X_M k_F l_{el}(\theta_D/2.8) H(x),$$
 (20)

where  $S_{xy}^{(0)} = |e| k_F \rho$  is a material-dependent constant. The factor 2.8 takes into consideration that the energy of thermal phonon is  $2.8k_BT$ . Assuming that in Pt,  $\rho = 0.9 \ \mu\Omega \cdot m$  and  $k_F^{-1} = 10^{-8} cm$ , we obtain  $S_{xy}^{(0)} \approx 30 \mu \text{V/K}$ . Function  $H\left(x\right)$  is positive at the cold end, meaning the dragging force pushes electrons towards the magnet there, according to Eq. (19). Note that (i) although the electron-phonon drag is proportional to a high power of temperature, see Eq. (17), the final result for the SSE coefficient is only weakly temperature dependent,  $S_{xy} \propto T$ . It comes out as a result of the strong dispersion of the phonon scattering time in the substrate. Although function H(x) in Eq. (20) is also temperature dependent, this dependence comes only from the nonlocality of the phonon collision integral in energy, and is relatively weak. Another important property of this function is that (ii) it's spatial dependence varies with temperature rather slowly. This is because the phonons which contribute mostly to the non-local effect have inelastic scattering length of the order of the sample size. Varying the temperature mainly results in the shift of the relevant phonon energy  $\omega_{nl}$ , so that the corresponding length scale  $l_{in}(\omega_{nl})$  remains the same. The observations (i), (ii) stress the importance of the strong dispersion of the phonon scattering.

Note that the sign of the Seebeck follows the sign of H(x) and is positive at the cold end. Taking  $\theta_H = 0.0037$ ,  $\epsilon_F/k_B = 10^3 K$ ,  $\theta_D = 350 K$ ,  $k_F l_{ei} = 10$  and the ratio of characteristic lengths as in (11), we find the magnitude of the effect at 10 K to be  $S \sim 1 \mu V/K \times X_M$ .

### II. DISCUSSION AND CONCLUSION

In this work we have discussed the main ingredients of the phonon dynamics in the substrate that allows to understand the spatial profile of the SSE signal. As we have shown, to explain the non-local effect, *i.e.* its dependence on the position of the probe along the substrate, one must consider the spectral non-uniformity of the phonon distribution function which can be interpreted as spectrally non-uniform temperature. A key aspect of the non-locality is the explicit introduction of the boundaries into the equations describing the propagation of diffusing phonons.

In addition, we have presented a scheme of the non-magnon mechanism in the case when the ferromagnetic element of the device is conducting and obtain the correct magnitude of the effect. Furthermore, the spatial profile of the SSE signal, presented in Fig. 3, is very similar to the one shown as a 'universal' profile on the Fig. 2f of the Ref. 2. Although the phonon kinetics at temperatures comparable with  $\theta_D$  is strongly modified by Umklapp processes, the measured proportionality between the SSE signal and the magnitude of the magnetization in Ref. 3 clearly indicates that near  $T_C \approx 130$  K the effect is still dominated by the flux of the spin-polarized electrons, instead of the magnon-mediated spin torque. We

believe that the difference between the data presented in Figs 2 and 3 of Ref. 3 - in particular, the difference in the behavior near the  $T_C$ , - supports this picture. Two different samples demonstrate drastically different temperature behavior. The sample which is thicker and grown on a substrate of a better quality has larger peak value of both  $S_{xy}$  and thermopower  $\alpha_{xx}$  and also much faster decay of  $S_{xy}$  at approaching  $T_C$ . The stronger thermopower observed in the thicker sample demonstrates that in this sample phonons lose momentum mainly in collisions with electrons, while in the thinner sample, their scattering on the defects is more efficient. However, due to strong sensitivity of the phonon distribution function at the F-Pt boundary to the ratio  $d_F/l_{in}^F(T)$ , in the thicker sample the SSE decays with temperature much faster than in the thinner one. As we have already discussed, at large  $d_F/l_{in}^F(T)$  the phonons equilibrate before they reach the probe. Indeed, in the thicker sample (more than three times thicker than the thinner one) the effect was not even resolved near the Curie temperature within the accuracy of the measurement. This suggests the need to study the dependence of the SSE signal on the thickness of the magnetic sample in otherwise identical conditions, i.e., keeping the properties of the insulating substrate and semiconductor/substrate boundary the same.

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#### Author contributions

K. S. T. carried out theory calculations with assistance of A. M. F and J. S. All three authors contributed equally to the project planning, writing the text and analyzing the results.

#### Competing financial interests

The authors declare no competing financial interests.

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The recent observation<sup>30</sup> of the magnetic proximity effect in FM/Pt contact suggests the possibility of another channel to contribute to the SSE voltage: anomalous Nernst effect. However, it is less universal than the electron-phonon

drag and in any case must rely on the mechanism which we propose for generation of the non-local signal: subthermal phonons with energy dispersive diffusion, which is quite universal.

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